



Network analysis reveals strongly localized impacts of El Niño

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Climatic conditions influence the culture and economy of societies and the performance of economies. Specifically, El Niño as an extreme climate event is known to have notable effects on health, agriculture, industry, and conflict. Here, we construct directed and weighted climate networks based on near-surface air temperature to investigate the global impacts of El Niño and La Niña. We find that regions that are characterized by higher positive/negative network “in”-weighted links are exhibiting stronger correlations with the El Niño basin and are warmer/cooler during El Niño/La Niña periods. In contrast to non-El Niño periods, these stronger in-weighted activities are found to be concentrated in very localized areas, whereas a large fraction of the globe is not influenced by the events. The regions of localized activity vary from one El Niño (La Niña) event to another; still, some El Niño (La Niña) events are more similar to each other. We quantify this similarity using network community structure. The results and methodology reported here may be used to improve the understanding and prediction of El Niño/La Niña events and also may be applied in the investigation of other climate variables.

climate | dynamic network | ENSO

More than a decade ago, networks became the standard framework for studying complex systems (1–5). In recent years, network theory has been implemented in climate sciences to construct “climate networks.” These networks have been used successfully to analyze, model, understand, and even predict climate phenomena (6–16). Specific examples of climate network studies include the investigation of the interaction structure of coupled climate subnetworks (17), the multiscale dependence within and among climate variables (18), the temporal evolution and teleconnections of the North Atlantic Oscillation (19, 20), the finding of the dominant imprint of Rossby waves (21), the optimal paths of teleconnection (22), the influence of El Niño on remote regions (8, 23, 24), the distinction of different types of El Niño events (25), and the prediction of these events (15, 16). A network is composed of nodes and links; in a climate network, the nodes are the geographical locations, and the links are the correlations between them. The “strength” of the links is quantified according to the strength of the correlations between the different nodes (21, 26, 27).

El Niño is probably the strongest climate phenomenon that occurs on interannual time scales (28, 29). El Niño refers to the warming of the central and eastern equatorial Pacific Ocean by several degrees (°C). La Niña is the cooling of sea surface temperatures (SSTs) in the eastern tropical Pacific Ocean. La Niña usually follows an El Niño event, but not always; the overall phenomenon is referred to as El Niño-Southern Oscillation (ENSO). This cycle occurs every 3–5 y with different magnitudes. There are several indices that quantify the El Niño activity, including the Niño 3.4 Index, the Southern Oscillation Index (SOI) (see, e.g., ref. 30), and the Oceanic Niño Index (ONI), which is the National Oceanic and Atmospheric Administration’s (NOAA) primary indicator for monitoring El Niño and La Niña. ONI is the running 3-mo mean SST anomaly for the Niño 3.4 region (i.e., 5°N – 5°S, 120° – 170°W); here, we refer to this region as

the El Niño Basin (ENB). When the ONI is $>0.5^{\circ}\text{C}$ for at least five consecutive months, the corresponding year is considered to be an El Niño year. The higher the ONI is, the stronger the El Niño. Similarly, La Niña is determined to occur when the ONI drops below the -0.5°C anomaly for at least five consecutive months. Presently, we have just undergone one of the strongest El Niño events since 1948 (31, 32).

The El Niño phenomenon strongly affects human life. It can lead to warming, enhanced rain in some regions and droughts in other regions (33), decline in fishery, famine, plagues, even increases in the risks of political and social unrest, and economic changes through globally networked system (34). Global maps of the influence of El Niño had been constructed in ref. 35. The climate network approach has been found to be useful in improving our understanding of El Niño (8, 23–25) and in forecasting it (15, 16). However, that approach has not been developed and applied to study systematically the global impact of El Niño, and that is what we try to achieve in quantitative terms here. We construct the climate network by using only directed links from the ENB to regions outside the ENB (which we call here “in”-links). The constructed in-weighted climate network enables us not only to obtain a map of the global impacts of a given El Niño event, but also to study the impacts of El Niño in specific regions, including North America (36), Australia (37–41), South Africa (42), southern South America (43), Europe (44), and the tropical North Atlantic (45).

In the present study, we identify warming and cooling regions that are influenced by the ENB by measuring each node’s strength according to the weights of its links “coming” from the ENB. We find that during El Niño/La Niña, a large fraction of the globe is not influenced by the events, but the regions that are influenced are significantly more affected by the ENB than in normal years. Our findings support the recent sugges-

Significance

El Niño, one of the strongest climatic phenomena on interannual time scales, affects the climate system and is associated with natural disasters and serious social conflicts. Here, using network theory, we construct a directed and weighted climate network to study the global impacts of El Niño and La Niña. The constructed climate network enables the identification of the regions that are most drastically affected by specific El Niño/La Niña events. Our analysis indicates that the effect of the El Niño basin on worldwide regions is more localized and stronger during El Niño events compared with normal times.

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tion that the climate structure becomes well-confined in certain localized regions during a fully developed El Niño event. This phenomenon is evident by inspecting the emergent teleconnections between the ENB and localized regions. Such a large-scale cooperative mode helps us to forecast El Niño events (15, 16). Our results also indicate that the El Niño/La Niña events influence different regions with different magnitudes during different events; still, by determining the network community structure, our results suggest that similarities exist among some of the El Niño (La Niña) events. We find here that the impact of El Niño is very variable and that it is localized and strong during El Niño events; we quantify this variability and the intensity effect and found, using a directed and weighted network, that it is strongly related to El Niño.

Our evolving climate network is constructed from the global daily near-surface (1000 hPa) air temperature fields of the National Center for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis dataset (46); see the *SI Appendix* for the analysis and results based on the European Center for Medium-Range Weather Forecasts Interim Reanalysis (ref. 47 and *SI Appendix*). The spatial (zonal and meridional) resolution of the data are $2.5^\circ \times 2.5^\circ$, resulting in $144 \times 73 = 10512$ grid points. The dataset spans the time period between January 1948 and April 2016. (Because for each window 365 + 200 days' daily data are used, and the newest data we can obtain is until May 6, 2016, so Φ^y is terminated at the 11th window of 2014.) To avoid the strong effect of seasonality, we subtract the mean seasonal cycle and divide by the seasonal SD for each grid point time series. The overall analysis is based on a sequence of networks, each constructed from time series that span 1 y.

The nodes (grid points) are divided into two subsets. One subset includes the nodes within the ENB (57 nodes) and the other the nodes outside the ENB (10455 nodes). For each pair of nodes, i and j , each from a different subset, respectively, the cross-correlation between the two time series of 365 d is calculated,

$$C_{i,j}^y(\tau) = \frac{\langle T_i(d) T_j(d - \tau) \rangle - \langle T_i(d) \rangle \langle T_j(d - \tau) \rangle}{\sigma_{T_i(d)} \sigma_{T_j(d - \tau)}}, \quad [1]$$

where $\sigma_{T_i(d)}$ is the SD of $T_i(d)$, $\tau \in [0, \tau_{max}]$ is the time lag, with $\tau_{max} = 200$ d, y indicates the starting date of the time series with 0 time shift, and $C_{i,j}^y(-\tau) \equiv C_{j,i}^y(\tau)$. We then identify the value of the highest peak of the absolute value of the cross-correlation function and denote the corresponding time lag of this peak as $\theta_{i,j}^y$. The sign of $\theta_{i,j}^y$ indicates the direction of each link; that is, when the time lag is positive ($\theta_{i,j}^y > 0$), the direction of the link is from i to j . Below, we focus on the overall effect of the ENB on regions (grid points) outside this region and thus refer to the links directed from the ENB to a grid point j as in-links to grid point j (24). We only consider in-links with time lag shorter than ~ 5 mo ($|\theta_{i,j}^y| \leq 150$ d) as we focus on the influence of El Niño on the rest of the world on seasonal time scales. Examples of in-links over different regions are shown in Fig. 1 *A* and *B*, and the cross-correlation function of these typical links are presented in *SI Appendix*, Fig. S4. Below, we elaborate on the impacts of El Niño in some of these regions. The link weights are determined by using $C_{i,j}^y(\theta)$, and we define the strength of the link as

$$W_{i,j}^y = \frac{C_{i,j}^y(\theta) - \text{mean}(C_{i,j}^y(\tau))}{\text{std}(C_{i,j}^y(\tau))}, \quad [2]$$

where “mean” and “std” are the mean and SD of the cross-correlation function, respectively (21, 22). We construct networks based on both $C_{i,j}^y(\theta)$ and $W_{i,j}^y$ and these are consistent with each other. See Fig. 1 *A* and *C* for El Niño and Fig. 1 *B* and *D* for La Niña (details are below).

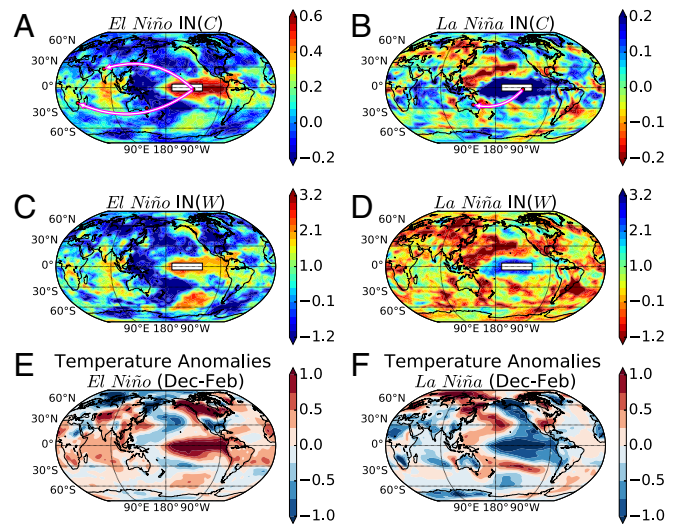


Fig. 1. (*A* and *C*) In-weight maps (using C and W) for El Niño events. (*B* and *D*) In-weight maps (using C and W) for La Niña events. (*E* and *F*) Mean winter (December–February) temperature anomalies during El Niño and La Niña. The arrows in *A* indicate two examples of in-links (El Niño impact), outgoing from ENB, to (i) Chicualacuala in Mozambique and (ii) Rambaxpura in India. The arrow in *B* indicates another example of in-links, outgoing from ENB (La Niña impact) to (iii) Jundah in Australia, respectively. The flat white rectangle in *A–D* represents the Niño 3.4 region ($5^\circ N - 5^\circ S$, $120^\circ - 170^\circ W$).

The adjacency matrix of a climate network is defined as

$$A_{i,j}^y = (1 - \delta_{i,j}) H(\theta_{i,j}^y), \quad [3]$$

where $H(x)$ is the Heaviside step function ($H(x \geq 0) = 1$ and $H(x < 0) = 0$). The in and “out” degrees of each node are defined as $I_i^y = \sum_j A_{j,i}^y$, $O_i^y = \sum_j A_{i,j}^y$, respectively, quantifying the number of links into a node or out from a node. We define the total in-weights of each node outside the ENB as the sum of the weights of its in-links, using $C_{j,i}^y$ and $W_{j,i}^y$:

$$\begin{aligned} \text{IN}(C_i^y) &= \sum_{j \in \text{ENB}} A_{j,i}^y C_{j,i}^y(\theta), \\ \text{IN}(W_i^y) &= \sum_{j \in \text{ENB}} A_{j,i}^y W_{j,i}^y. \end{aligned} \quad [4]$$

Larger (smaller) positive (negative) values of $\text{IN}(C_i^y)$ and $\text{IN}(W_i^y)$ reflect stronger (weaker) warming (cooling) due to the impact of the ENB. If there are no in-links for a node, both the in-degree and in-weights are zero, indicating no impact of the ENB.

Based on the ONI, we divide the 68 record years into El Niño, La Niña, and normal years. For simplicity, we only consider moderate and strong El Niño/La Niña events with $|\text{ONI}| > 1^\circ \text{C}$. For each event, we consider the time series from July 1 preceding the event to June 30 of the next year, to cover the whole range of one El Niño/La Niña period (48). (The year is centered on the Northern Hemisphere winter, such that, for example, the year labeled 1980 runs from July 1, 1980 to June 30, 1981.) Based on this definition, we consider 11 El Niño and 9 La Niña events between the years 1948 and 2015. We calculate the in-weighted degree fields for El Niño and La Niña by taking the average of the same type of events using

$$\begin{aligned} \text{IN}(C_i) &= \sum_{y \in \text{EY}(LY)} \text{IN}(C_i^y) / S, \\ \text{IN}(W_i) &= \sum_{y \in \text{EY}(LY)} \text{IN}(W_i^y) / S, \end{aligned} \quad [5]$$

where $S = \sum_{y \in EY(LY)} I_i^y$, and EY and LY refer to the years (as defined above) in which El Niño and La Niña occur, respectively.

It is seen that regions affected by El Niño/La Niña, either by warming or cooling, such as North America (49), South America (50), Europe (51), India (52), South Africa (53, 54), and Australia (39), are characterized by relatively high in-weights (Fig. 1 *A–D*) and by high temperature anomalies (Fig. 1 *E* and *F*). The maps of temperature anomalies in Fig. 1 *E* and *F* are obtained by first calculating a 3-mo (December–February) mean temperature anomaly for each year, and then taking an average of the mean value over all El Niño/La Niña years. The El Niño/La Niña-related in-weighted extent fields are hemispherically symmetric, to some degree, in accordance with refs. 48 and 55.

In Table 1, we compare the in-weighted degree maps of El Niño/La Niña events with the corresponding temperature anomaly maps by evaluating the cross-correlation between each pair of maps shown in Fig. 1. Note that the different grid points are weighted by the cosine of the latitude, to account for the lower weights (due to the smaller area) at the higher latitudes. The cross-correlation values are found to be high, indicating the similarity between the different measures. For more detail, see *SI Appendix, Tables S2 and S3*. In addition, we also find that the averaged effects of El Niño and La Niña on different regions shown in Fig. 1 are quite similar. The cross-correlation value between Fig. 1 *A* and *B* is 0.54, indicating that El Niño and La Niña tend to affect similar regions.

Next, we study the variability of the regions that are influenced by El Niño/La Niña. We find that during El Niño/La Niña events, the overall global area that is influenced by the ENB becomes smaller, whereas the impacts in these more limited areas become stronger. This enhanced impact in localized regions is demonstrated in Fig. 2, which compares the global distributions of the in-degrees, $IN(N)$; in-weights, $IN(W)$; and $IN(C)$, of typical El Niño and normal years. For $IN(N)$, the differences are quite distinct—we see broader black regions (that indicate the absence of in-links), as well as broader dark red regions (that indicate that all links connected with the 57 grid points of the ENB are in-links), during El Niño years (Fig. 2*A*), compared with normal years (Fig. 2*B*). The underlying reason for this contrast is that, during El Niño, the temperatures of all 57 nodes located in the ENB are synchronized, such that for each influenced node outside the ENB, the 57 links with the ENB are more likely to have the same direction (i.e., outgoing from the ENB); this situation is less likely during normal years. We also find the localized phenomenon for in-weights, during El Niño years (Fig. 2*C* and *E*), compared with normal years (Fig. 2*D* and *F*). See also examples of cross-correlation functions for nodes inside and outside the ENB during El Niño in *SI Appendix, Fig. S3*.

A quantitative analysis of the area (number of nodes) that is affected/unaffected during El Niño and La Niña years is shown in Fig. 3, where El Niño and La Niña years are, respectively, emphasized by the red and blue shading. Here, the temporal evolution of the climate network is studied by constructing a sequence of networks based on successive windows of lengths of $365 + 200$ d, with a beginning date that is shifted by 1 mo each time. Fig. 3*A* depicts the ONI as a function of time. We focus on El Niño

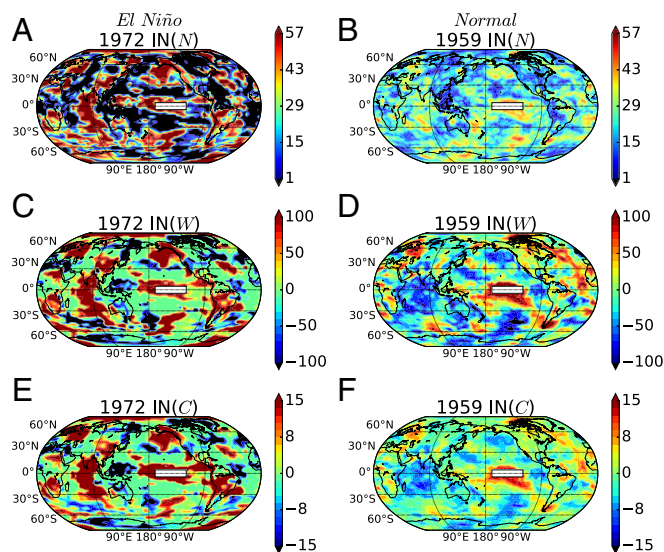


Fig. 2. The in-degree fields (N, W, and C) in a typical El Niño event, 1972 (*A*, *C*, and *E*), and a normal year, 1959 (*B*, *D*, and *F*).

(La Niña) events with ONIs that are larger (smaller) than 1°C (-1°C). Fig. 3*B* depicts the number of nodes with zero in-degree N^y as a function of time, and Fig. 3*C* depicts the average in-weights per node, which are given by dividing the sum of the absolute weights of all in-links of each node outside the ENB by N^y :

$$C^y = \sum_{i \notin \text{ENB}} \sum_{j \in \text{ENB}} A_{j,i}^y |C_{j,i}^y(\theta)| / N^y. \quad [6]$$

Fig. 3 shows the 3-mo running average of N^y and C^y .

It actually is seen that, during El Niño/La Niña, the number of nodes with no in-links, N^y , drops dramatically (Fig. 3*B*), indicating that the total area influenced by the ENB is much smaller. Moreover, during El Niño/La Niña, C^y increases significantly (Fig. 3*C*), indicating a stronger impact of the ENB in the areas that are influenced by it. We chose the 1982–1983 El Niño event to depict the evolution of ENSO impact, from its onset to its decay. We plot the in-weight maps every 3 mo (*SI Appendix, Fig. S12*). We find that, during the El-Niño event, the links are more localized in comparison with the beginning and the end of the event. To quantify the significance of the results, we used a randomization procedure in which we shuffled the years of each node's time series (keeping the time ordering within each year unchanged) and then constructed the in-weighted networks. We found that $C^y \geq 8$ and $N^y \leq 6300$ are significant with P values $< 10^{-3}$. Other related network quantities are summarized in *SI Appendix, Figs. S5–S7* and *Table S1*. The success of the climate-network-based measures to detect the El Niño/La Niña events strengthens the reliability of this approach in studying climate phenomenon.

It is possible to classify El Niño events based on the location of their maximum SST anomalies and on their tropical midlatitude teleconnections (56, 57). Here, we propose classifying different types of El Niño events based on the similarity between them, which can be determined by the cross-correlations between pairs of maps. We determine the significance of the cross-correlation using shuffled network maps. The shuffling is performed by dividing the map (globe) into 18 equal areas, shuffling their spatial orders for each event, and then evaluating the cross-correlation between each pair of the shuffled global network maps. Eventually, we obtain a distribution of the cross-correlation values through the shuffling process. Only correlations with P values < 0.01 are considered as significant.

Table 1. Comparison (using cross-correlation) between the in-weighted degree fields and the El Niño/La Niña mean winter temperature anomaly shown in Fig. 1

| R | El Niño | La Niña |
|-------------------|---------|---------|
| $R_{IN(C),T}$ | 0.59 | −0.55 |
| $R_{IN(W),T}$ | 0.54 | −0.51 |
| $R_{IN(W),IN(C)}$ | 0.92 | 0.95 |

See *SI Appendix, Eqs. S1–S3* in the for the definition of R .

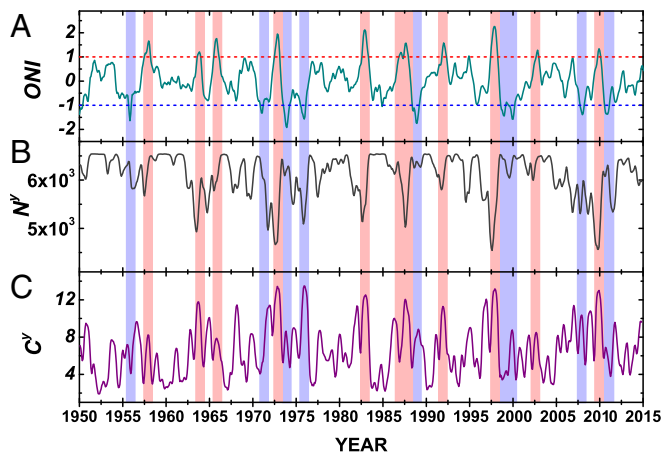


Fig. 3. (A) The ONI as a function of time. (B) The evolution of the number of nodes that have in-links with time. (C) The evolution of the average in-weights per node with time.

The cross-correlations between pairs of El Niño events is shown in Fig. 4A; insignificant cross-correlation is indicated by the white color. Based on this heat map, the 11 El Niño events are divided into three groups with extended white areas separating them, indicating that El Niño events within the same group tend to have similar global impact patterns. Furthermore, we divide the globe into three regions, approximately equal in area: “Tropics” (20°S to 20°N), “North” (20°N to 90°N), and “South” (20°S to 90°S). Then, separately for each region, we calculate the cross-correlations between the map pairs of the in-weighted climate network. The significant cross-correlations are also determined by P values < 0.01 , by shuffling the spatial orders of nodes within the same regions. The heat maps of cross-correlations for the different regions are shown in Fig. 4B–D. We find that the global similarity structure receives different contributions from different regions. More specifically, the heat map for the Tropics region (Fig. 4B) is much more similar to the heat map for the global area (Fig. 4A), in comparison with the other two regions, indicating that the impact of El Niño in the tropics dominates the classification of El Niño events. We also construct the matrix of similarity of El Niño events based on the mean winter temperature anomaly and find that it is consistent with the network-based similarity structure (SI Appendix, Fig. S9).

A weighted network of the 11 El Niño years is also constructed based on the significant correlations given in Fig. 4A and is shown in Fig. 4E; the thickness of each link represents the correlation value between the two connected years. Then, by using a modularity optimization heuristic algorithm (58), our network is subdivided into three communities, which is consistent with the groups in Fig. 4A. To view the correlation patterns associated with each of the three communities, we chose three representative El Niño years (2009, 1986, and 1957), each from a different community. The in-weight maps are shown in SI Appendix, Fig. S11. The correlation patterns are quite different from each other; the correlation coefficients between them are summarized in Fig. 4A.

In summary, a general pattern of El Niño/La Niña’s global impacts, as well as of their dynamical evolutions, are obtained from a time-evolving in-weighted climate network. By averaging the in-weighted degree fields of all significant El Niño/La Niña events, we identify the regions that tend to be more influenced by those events. One of the most important results of our study is that, during El Niño/La Niña periods, a smaller world area is affected by the ENB, but the impact of El Niño/La Niña is enhanced in these more localized regions. This observation is rooted in the fact that, during El Niño/La Niña, the entire ENB warms/cool; in addition, the regions that become warmer/cooler

have similar/opposite tendencies with respect to the ENB. These synchronized behaviors enhance the overall correlation of the ENB with the rest of the world. However, during normal periods, part of the ENB is correlated and part is not, thus reducing the overall correlation and extending the regions of correlation.

The method proposed above enables the detection of local effects of each El Niño event; see SI Appendix, Fig. S10 for examples of climate networks of several El Niño and La Niña events. Evidently, these enhanced and localized El Niño effects are associated with serious consequences in many aspects of human life (59–62). In Fig. 1A and B, we indicate (by arrows) three examples of regional effects of El Niño/La Niña discussed below. (i) Droughts and floods exacerbated by El Niño had directly affected East Africa, leading to an increase in food insecurity and malnutrition. El Niño had a varied significant impact on this region, ranging from floods affecting > 3.4 million people during the 2006–2007 event to drought affecting > 14 million people during the 2009–2010 event. Excessive rains during the 2014–2016 event have led to flooding in parts of Somalia, Kenya, Ethiopia, and Uganda, affecting nearly 410,000 people, displacing $> 231,900$ people, and killing 271 people in the region (63). (ii) Agricultural output in India depends on the summer monsoons that are influenced by the timing, location, and intensity of El Niño. Some droughts in India have been accompanied by El Niño events (52). For example, the 2002–2003 El Niño event was accompanied by one of the worst Indian droughts in the past century, decreased the agricultural (cotton, oilseeds, and sugarcane) output, and led to food inflation (64). (iii) Precipitation in Australia had been associated with ENSO (38, 39), where El Niño (La Niña) tended to increase the risk of dry (wet) conditions across many parts of the continent (65). The 2010–2012 La Niña event was particularly important because it led to flooding across Australia and to the termination of the particular strong “Millennium Drought” (2001–2010) in eastern Australia (66).

The method we propose here enables the detection of the above regions as well as other regions across the globe that are affected by ENSO. See SI Appendix, Fig. S4 for typical cross-correlation functions during the specific El Niño events and regions described above.

The regions affected by El Niño vary from one El Niño event to another, making it difficult to predict the impacts of an upcoming El Niño. However, it is still possible to evaluate for each region (grid point) the probability to be affected by ENB by using

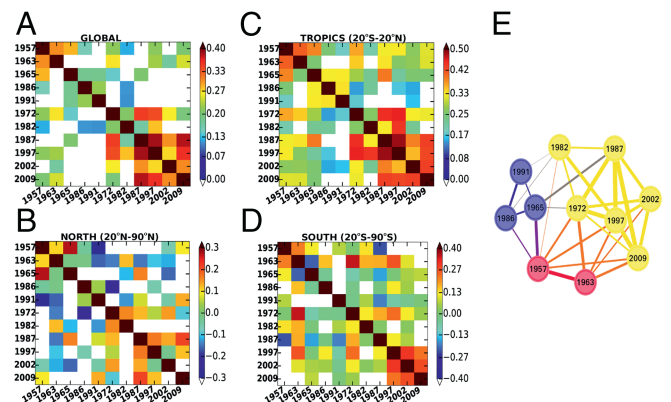


Fig. 4. The community structure of the 11 El Niño events. (A–D) The heat map of cross-correlations between pairs of El Niño events, based on the global (A), tropical (B), Northern Hemisphere (C), and Southern Hemisphere (D) maps of the in-weighted climate network. (E) Community structure in the network of 11 El Niño events. Different colors represent different communities.

our climate network approach. We define the frequency P_i for each node i in which the in-degrees I_i^y are nonzero. This P_i quantifies the probability effected by ENB. *SI Appendix, Fig. S13* shows the spatial distribution of $P_i \geq 10$ (among 11 El Niño events); these regions are marked by red color (indicating probability $>> 90\%$) and include Australia (37–41), South Africa (42), southern South America (43), and Europe (44). El Niño phenomena can lead to warming or cooling in some regions, and the warming or cooling can be quantified by using $\text{IN}(C_i^y)$ —positive values for warming effects and negative values for cooling effects. *SI Appendix, Fig. S14 A and B* shows the spatial distribution of positive and negative $\text{IN}(C_i^y)$ frequency, respectively. We find that some regions, such as Western North American, Western South America, South Indian, South Africa, and South Pacific, are very frequently and positively (warming) affected by El Niño; yet some regions, such as Southern South America and North Asian, are very frequently and negatively (cooling) affected (*SI Appendix, Fig. S14*). These results are consistent to some degree with the temperature anomalies during El Niño shown in Fig. 1E. To strengthen the above results, we also analyzed the frequency (during El Niño years) of the temperature anomalies to be above or below one SD of normal years (*SI Appendix, Fig. S14 C and D*). These results support the results obtained by using the network approach (compare *SI Appendix, Fig. S14 A–C* for warming and *SI Appendix, Fig. S14 B–D* for cooling) and can help to identify the regions that have the highest probability to be affected by El Niño.

Finally, according to our results, different El Niño events can drive different extreme weather conditions in different regions. For instance, the recently terminated El Niño event was distinct from most El Niño events in certain key aspects of climate disruptions (32). Collecting updated information is important in improving related models. Meanwhile, the detection of similarities between different El Niño events is also helpful in understanding important common aspects. We distinguish between different types of El Niño events based on the similarities between the networks of these events. According to our results, the similarities between different events are mostly due to the impacts of El Niño on Tropics (20°S to 20°N) compared with North (20°N to 90°N) and South (20°S to 90°S); the Tropics area is $\sim 1/3$ of the global world area. The methodology and results presented here may help to improve the understanding of the impacts of ENSO, and hopefully to provide the ability, in the future, to take early actions to reduce the damage caused by El Niño. The mechanism underlying the results reported above is still not clear to us, and further study, maybe related to teleconnections, is needed to explore this mechanism.

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